

FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT  
(Use several sheets if necessary)

Attorney Docket No.

Application No.:

OCT 27 2003 JC25

10/057,412

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27 2003  
P A T E N T  
J C 2 5

TRADE  
MARK  
REG.  
U. S. PATENT DOCUMENTS

Applicant: Jean Woloszko et al.

Filing Date:  
January 25, 2002

Group: 3739

Reference Designation

Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date

## FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub-class	Translation (yes/no)
AA	EP 0 694 290	11/15/00	Europe	A61B	18/04	
AB	92/21278	12/10/92	WIPO	A61B	5/04	
AC	94/08654	04/28/94	WIPO	A61M	37/00	
AD	97/00647	01/09/97	WIPO	A61B	17/39	
AE	97/00646	01/09/97	WIPO	A61B	17/39	
AF	EP 0 703 461	03/27/96	Europe	G01R	27/02	
AG	EP 0 754 437	01/22/97	Europe	A61B	17/39	
AH	97/48345	12/24/97	WIPO	A61B	17/39	
AI	98/27880	07/02/98	WIPO	A61B	17/39	
AJ	2 327 350	01/27/99	UK	A61B	17/39	
AK	2 327 351	01/27/99	UK	A61B	17/39	
AL	2 327 352	01/27/99	UK	A61B	17/39	
AM	3930451	03/21/91	Germany	A61B	17/39	
AN	57-57802	04/05/82	JP	A61B	1/00	

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AO	Pearce, John A. (1986) <i>Electrosurgery</i> , pgs. 17, 69-75, 87, John Wiley & Sons, New York.
AP	J.W. Ramsey et al. <i>Urological Research</i> Vol. 13, pp. 99-102 (1985).
AQ	V.E. Elsasser et al. <i>Acta Medicotechnica</i> Vol. 24, No. 4, pp. 129-134 (1976).
AR	P.C. Nardella (1989) <i>SPIE 1068:42-49</i> Radio Frequency Energy and Impedance Feedback
AS	R. Tucker et al., Abstract P14-11, p. 248, "A Bipolar Electrosurgical Turp Loop"
AT	R. Tucker et al. <i>J. of Urology</i> Vol. 141, pp. 662-665, (1989).
AU	R. Tucker et al. <i>Urological Research</i> Vol. 18, pp. 291-294 (1990).
AV	Kramolowsky et al. <i>J. of Urology</i> Vol. 143, pp. 275-277 (1990).
EXAMINER	DATE CONSIDERED 4/27/04

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

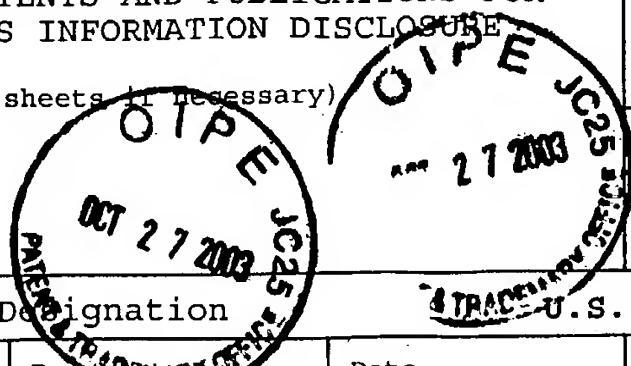
LIST OF PATENTS AND PUBLICATIONS FOR  
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STATEMENT  
(Use several sheets if necessary)

Attorney Docket No.

G-3

Application No.:

10/057,412



Applicant: Jean Woloszko et al.

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Reference Designation

U.S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub-class	Translation (yes/no)
AW	WO 95/34259	12/21/95	WIPO	A61F	5/48	
AX	WO 93/13816	07/22/93	WIPO	A61B	17/36	
AY	WO 90/07303	07/12/90	WIPO	A61B	17/39	
AZ	WO 94/04220	03/03/94	WIPO	yes	A61N	1/06
BA	0 740 926 A2	11/06/96	EP	A61B	17/39	
BB	96/00042	01/04/96	WIPO	A61B	17/39	
BC	97/24073	07/10/97	WIPO	A61B	17/39	
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BE	97/24994	07/17/97	WIPO	A61B	17/39	
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BG	98/07468	02/26/98	WIPO	A61N	1/40	
BH	2 308 979	07/16/97	GB	A61B	17/36	
BI	2 308 980	07/16/97	GB	A61B	17/36	
BJ	2 308 981	07/16/97	GB	A61B	17/36	
BK	57-117843	07/22/82	JP	A61B	17/39	
BL	99/51158	10/14/99	WIPO	A61B	17/39	
BM	99/51155	10/14/99	WIPO	A61B	17/36	
BN	98/27879	07/02/98	WIPO	A61B	17/36	
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BQ	Slager et al. Z. Kardiol. 76:Suppl. 6, 67-71 (1987).
BR	Slager et al. JACC 5(6):1382-6 (1985).
BS	Olsen MD, Bipolar Laparoscopic Cholecstectomy Lecture (marked confidential), 10/07/91

EXAMINER

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DATE CONSIDERED

4/27/04

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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			G-3	10/057,412		
			<b>RECEIVED</b> Applicant: Jean Woloszko et al. Filing Date: January 25, 2002 Group: 3739 OCT 30 2003 TECHNOLOGY CENTER			
Reference Designation <b>U.S. PATENT DOCUMENTS</b>						
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date
<b>FOREIGN PATENT DOCUMENTS</b>						
	Document No.	Date	Country	Class	Sub-class	Translation (yes/no)
BT	90/03152	04/05/90	WIPO	A61B	17/39	
BU	2313949	01/07/77	France	A61N	3/02	
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BV	Codman & Shurtleff, Inc. "The Malis Bipolar Electrosurgical System CMC-III Instruction Manual" 7/1991					
BW	Valley Forge's New Products, CLINICA, 475, 5, 11/6/91					
BX	Valley Forge Scientific Corp., "Summary of Safety and Effective Information from 510K," 1991					
BY	Codman & Shurtleff, Inc. "The Malis Bipolar Coagulating and Bipolar Cutting System CMC-II" brochure, early 1991					
BZ	L. Malis, "The Value of Irrigation During Bipolar Coagulation" See ARTC 21602, early 4/9/93					
CA	L. Malis, "Excerpted from a seminar by Leonard I. Malis, M.D. at the 1995 American Association of Neurological Surgeons Meeting," 1995					
CB	L. Malis, "Electrosurgery, Technical Note," J. Neursurg., Vol. 85, 970-975, 11/96					
CC	Ian E. Shuman, "Bipolar Versus Monopolar Electrosurgery: Clinical Applications," Dentistry Today, Vol. 20, No. 12, 12/01					
CD	Protell et al., "Computer-Assisted Electrocoagulation: Bipolar v. Monopolar in the Treatment of Experimental Canine Gastric Ulcer Bleeding," Gastroenterology Vol. 80, No. 3, pp. 451-455					
CE	Cook and Webster, "Therapeutic Medical Devices: Application and Design," 1982					
CF	Valleylab SSE2L Instruction Manual, 1/6/83					
CG	Robert D. Tucker et al., "Demodulated Low Frequency Currents from Electrosurgical Procedures," Surgery, Gynecology and Obstetrics, 159:39-43, 1984					
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EXAMINER	<i>Lehr</i>		DATE CONSIDERED	4/27/01		

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			RECEIVED OCT 30 2003 TECHNOLOGY CENTER R3700			
Reference Designation			U.S. PATENT DOCUMENTS			
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date
<b>FOREIGN PATENT DOCUMENTS</b>						
	Document No.	Date	Country	Class	Sub-class	Translation (yes/no)
CI1	0 623 316	3/31/99	EP			
CI2	0 717 966	4/9/03	EP			
CI3	2 379 878	3/26/03	GB			
CI4	0 324 339	3/27/03	WO			
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CH5	Selikowitz & LaCourse, "Electric Current and Voltage Recordings on the Myocardium During Electrosurgical Procedures in Canines," <i>Surgery, Gynecology &amp; Obstetrics</i> , Vol. 164, 219-224, March 1987					
CI	J. O'Malley, Schaum's Outline of Theory and Problems of Basic Circuit Analysis, McGraw-Hill, 2 <sup>nd</sup> Ed., 1992, pp. 3-5					
CJ	Arnaud Wattiez et al., "Electrosurgery in Operative Endoscopy," <i>Electrosurgical Effects</i> , Blackwell Science, pp. 85-93, 1995					
CK	Leslie A. Geddes, "Medical Device Accidents: With Illustrative Cases" CRC Press, 1998					
CL	Wyeth, "Electrosurgical Unit" pp. 1181-1202					
CM	C.P. Swain, et al., Gut Vol. 25, pp. 1424-1431 (1984)					
CN	Piercey et al., <i>Gastroenterology</i> Vol. 74(3), pp. 527-534 (1978)					
CO	A.K. Dobbie <i>Bio-Medical Engineering</i> Vol. 4, pp. 206-216 (1969)					
CP	B. Lee et al. <i>JACC</i> Vol. 13(5), pp. 1167-1175 (1989)					
CQ	K. Barry et al. <i>American Heart Journal</i> Vol. 117, pp. 332-341 (1982)					
CR	W. Honig <i>IEEE</i> pp. 58-65 (1975)					
CS	Jacob Kline, <i>Handbook of Biomedical Engineering</i> , Academic Press Inc., N.Y., pp. 98-113, 1988					
CT	M.B. Dennis et al. "Evolution of Electrofulguration in Control of Bleeding of Experimental Gastric Ulcers," <i>Digestive Diseases and Sciences</i> , Vol. 24, No. 11, 845-848					
CU	Letter from Department of Health to Jerry Malis dated April 15, 1985					
CV	Letter from Jerry Malis to FDA dated July 25, 1985					
CW	Letter from Department of Health to Jerry Malis dated 04/22/91					
EX	Leonard Malis, "Instrumentation for Microvascular Neurosurgery" <i>Cerebrovascular Surgery</i> , Vol 1, 245-260, 1985.					
EXAMINER	<i>J. Lee</i>		DATE CONSIDERED	4/27/04		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



#8

# Electronic Filing System (EFS) Data

## Electronic Patent Application Submission

### USPTO Use Only

EFS ID: 49752  
Application ID: 10057412  
Title of Invention: ARTICULATED  
ELECTROSURGICAL PROBE  
First Named Inventor: Jean Woloszko  
Domestic/Foreign Application: Domestic Application  
Filing Date: 2002-01-25  
Effective Receipt Date: 2003-10-24  
Submission Type: Information Disclosure  
Statement  
Filing Type:  
Confirmation number: 1345  
Attorney Docket Number: NONE



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Total Fees Authorized:

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Trademark Office,ou=Department of Commerce,o=U.S. Government,c=US  
Certificate Message Digest: 124a35e47318cec5af15bcc819a9789f6629d13e

13	4181131	1980-01-01	Ogiu
14	4184492	1980-01-22	Meinke et al.
15	4567890	1986-02-04	Ohta et al.
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20	4966597	1990-10-30	Cosman
21	5047026	1991-09-10	Rydell
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24	5080660	1992-01-14	Buelna
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26	5099840	1992-03-31	Goble
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29	5167659	1992-12-01	Ohtomo et al.
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33	5306238	1994-04-26	Fleenor
34	5423882	1995-06-13	Jackman et al.
35	5441499	1995-08-15	Fritzsche
36	5451224	1995-09-19	Goble et al.
37	5454809	1995-10-03	Janssen
38	2056377	1939-10-06	Wappler
39	3828780	1974-08-13	Morrison, Jr. et al.
40	3901242	1975-08-26	Storz

## US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

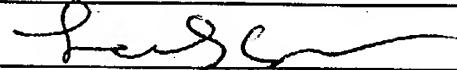
init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
✓	1	20020029036	2002-03-07	Goble et al.			

## Remarks

Note: Remarks are not for responding to an office action.

This submission is part 5 of 6 parts each submitted on October 24, 2003. The first part of this submission (part 1) has been concomittently filed via priority mail (1 box) with instructions for the PTO to charge the proper fee. Accordingly, we do not believe that this part (part 5) of this IDS submission should require an additional fee. Please charge our deposit account not more than one IDS submission fee for the entire submission consisting of six parts. Thank you.

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Examiner Name	Date
	10/24/03



#9

# Electronic Filing System (EFS) Data

## Electronic Patent Application Submission

### USPTO Use Only

EFS ID: 49753  
Application ID: 10057412  
Title of Invention: ARTICULATED  
ELECTROSURGICAL PROBE  
First Named Inventor: Jean Woloszko  
Domestic/Foreign Application: Domestic Application  
Filing Date: 2002-01-25  
Effective Receipt Date: 2003-10-24  
Submission Type: Information Disclosure  
Statement  
Filing Type:  
Confirmation number: 1345  
Attorney Docket Number: NONE



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## TRANSMITTAL

Electronic Version v1.1

Stylesheet Version v1.1.0

Title of Invention	ARTICULATED ELECTROSURGICAL PROBE
Application Number:	10/057412
Date:	2002-01-25
First Named Applicant:	Jean Woloszko
Confirmation Number:	1345
Attorney Docket Number:	

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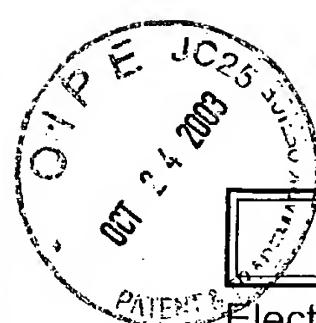
Submitted by:	Elec. Sign.	Sign. Capacity
Richard Batt Registered Number: 43485	RRB	Attorney

Documents being submitted  
us-ids

Files  
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us-ids.dtd  
us-ids.xls

Comments

part 6 of 6



## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	ARTICULATED ELECTROSURGICAL PROBE						
Application Number:	10/057412						
Confirmation Number:	1345						
First Named Applicant:	Jean Wołoszko						
Attorney Docket Number:							
Search string:	( 4785807 or 5190541 or 5261905 or 5807392 or 5893849 or 6063083 or 6066137 or 5217460 or 6096037 or 5702390 or 6217575 or 6273887 or 4116198 or 5197964 or 5514130 or 6379350 or 5562703 or 5490850 ).pn.						
<b>US Patent Documents</b>							
Note: Applicant is not required to submit a paper copy of cited US Patent Documents							
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
1	4785807	1988-11-22	Blanch				
2	5190541	1993-03-02	Abele et al.				
3	5261905	1993-11-16	Doresey, III				
4	5807392	1998-09-15	Eggers				
5	5893849	1999-04-13	Weaver				
6	6063083	2000-05-16	Duong-Van				
7	6066137	2000-05-23	Greep				
8	5217460	1993-06-08	Knoepfle				
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## Signature

Examiner Name	Date
	4127109



#10

# Electronic Filing System (EFS) Data

## Electronic Patent Application Submission

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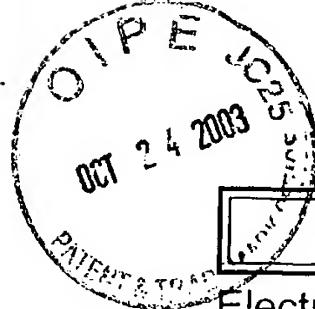
EFS ID: 49751  
Application ID: 10057412  
Title of Invention: ARTICULATED  
ELECTROSURGICAL PROBE  
First Named Inventor: Jean Woloszko  
Domestic/Foreign Application: Domestic Application  
Filing Date: 2002-01-25  
Effective Receipt Date: 2003-10-24  
Submission Type: Information Disclosure  
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Filing Type:  
Confirmation number: 1345  
Attorney Docket Number: NONE



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Stylesheet Version v1.1.0

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Date:	2002-01-25
First Named Applicant:	Jean Woloszko
Confirmation Number:	1345
Attorney Docket Number:	

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Submitted by:	Elec. Sign.	Sign. Capacity
Richard Batt Registered Number: 43485	RRB	Attorney

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Comments part 4 of 6
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## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	ARTICULATED ELECTROSURGICAL PROBE
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Application Number: 10/057412



Confirmation Number: 1345

First Named Applicant: Jean Woloszko

Attorney Docket Number:

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or 6261286 or 6293942 or 6306134 or 3815604  
or 3920021 or 4590934 or 4785823 or 4805616  
or 4832048 or 5085659 or 5171311 or 5207675  
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or 6296638 or 6312408 or 6322549 or 6355032  
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or 6179824 or 6183469 ).pn.

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### US Patent Documents

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init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
828	1	6074386	2000-06-13	Goble et al.			
	2	6090106	2000-07-18	Goble et al.			
	3	6093186	2000-07-25	Goble et al.			
	4	6228081	2001-05-08	Goble			
	5	6261286	2001-07-17	Goble et al.			
	6	6293942	2001-09-25	Goble et al.			
	7	6306134	2001-10-23	Goble et al.			
	8	3815604	1974-06-11	O'Malley et al.			
	9	3920021	1975-11-18	Hiltebrandt			
	10	4590934	1986-05-27	Malis et al.			
	11	4785823	1988-11-22	Eggers et al.			

12	4805616	1989-02-21	Pao
13	4832048	1989-05-23	Cohen
14	5085659	1992-02-04	Rydell
15	5171311	1992-12-15	Rydell et al.
16	5207675	1993-05-04	Canady
17	5888198	1999-03-30	Eggers et al.
18	5891095	1999-03-30	Eggers et al.
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22	6159194	2000-12-12	Eggers et al.
23	6159208	2000-12-12	Hovda et al.
24	6179836	2001-01-30	Eggers et al.
25	6190381	2001-02-20	Olsen et al.
26	6203542	2001-03-20	Ellsberry et al.
27	6224592	2001-05-01	Eggers et al.
28	6235020	2001-05-22	Cheng et al.
29	6238391	2001-05-29	Olsen et al.
30	6254600	2001-07-30	Willink et al.
31	6264652	2001-07-24	Eggers et al.
32	6296636	2001-10-02	Cheng et al.
33	6296638	2001-10-02	Davison et al.
34	6312408	2001-11-06	Eggers et al.
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36	6355032	2002-03-12	Hovda et al.
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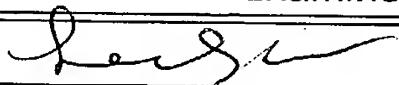
48	6142992	2000-11-07	Cheng et al.
49	6179824	2001-01-30	Eggers et al.
50	6183469	2001-02-06	Thapliyal et al.

## Remarks

Note: Remarks are not for responding to an office action.

This submission is part 4 of 6 parts each submitted on October 24, 2003. The first part of this submission (part 1) has been concomittently filed via priority mail (1 box) with instructions for the PTO to charge the proper fee. Accordingly, we do not believe that this part (part 4) of this IDS submission should require an additional fee. Please charge our deposit account not more than one IDS submission fee for the entire submission consisting of six parts. Thank you.

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	4/27/04



#11

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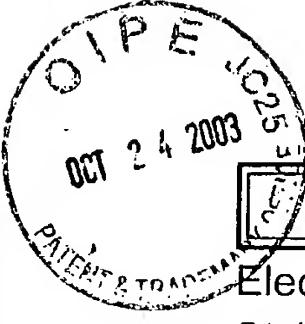
EFS ID: 49750  
Application ID: 10057412  
Title of Invention: ARTICULATED  
ELECTROSURGICAL PROBE  
First Named Inventor: Jean Woloszko  
Domestic/Foreign Application: Domestic Application  
Filing Date: 2002-01-25  
Effective Receipt Date: 2003-10-24  
Submission Type: Information Disclosure  
Statement  
Filing Type:  
Confirmation number: 1345  
Attorney Docket Number: NONE

Total Fees Authorized:

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Title of Invention	ARTICULATED ELECTROSURGICAL PROBE
Application Number:	10/057412
Date:	2002-01-25
First Named Applicant:	Jean Woloszko
Confirmation Number:	1345
Attorney Docket Number:	

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Submitted by:	Elec. Sign.	Sign. Capacity
Richard Batt Registered Number: 43485	RRB	Attorney

Documents being submitted us-ids	Files G-3Standard2-usidst.xml us-ids.dtd us-ids.xsl
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### Comments

Part 3 of 6



## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	ARTICULATED ELECTROSURGICAL PROBE				
Application Number:	10/057412				
Confirmation Number:	1345				
First Named Applicant:	Jean Woloszko				
Attorney Docket Number:					
Search string:	( 5122138 or 5190517 or 5192280 or 5330470 or 5366443 or 5647869 or 5662680 or 5417687 or 5843019 or 5871469 or 4967765 or 5197963 or 5267997 or 5290282 or 5314406 or 5324254 or 5383876 or 5395312 or 5944715 or 4202337 or 4674499 or 4936301 or 4943290 or 4979948 or 5035696 or 5195959 or 5700262 or 5807395 or 5496312 or 5556397 or 5681282 or 5683366 or 5697536 or 5766153 or 5810764 or 5810809 or 5885277 or 5897553 or 6126682 or 6168593 or 5423810 or 5436566 or 5438302 or 6004319 or 6013076 or 6015406 or 6027501 or 6039734 or 6056746 or 6068628 ).pn. )				
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US Patent Documents					
Note: Applicant is not required to submit a paper copy of cited US Patent Documents					
init	Cite.No.	Patent No.	Date	Patentee	Kind
1	5122138	1992-06-16	Manwaring		
2	5190517	1993-03-02	Zieve et al.		
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5	5366443	1994-11-22	Eggers et al.		
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## Remarks

Note: Remarks are not for responding to an office action.

This submission is part 3 of 6 parts each submitted on October 24, 2003. The first part of this submission (part 1) has been concomittently filed via priority mail (1 box) with instructions for the PTO to charge the proper fee. Accordingly, we do not believe that this part (part 3) of this IDS submission should require an additional fee. Please charge our deposit account not more than one IDS submission fee for the entire submission consisting of six parts. Thank you.

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Examiner Name	Date
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#12  
Electronic Filing System (EFS) Data  
Electronic Patent Application Submission  
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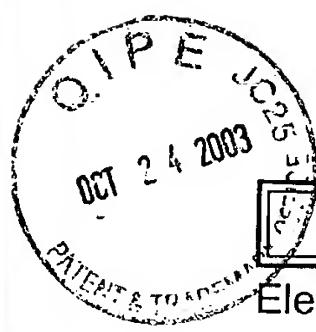
EFS ID: 49749  
Application ID: 10057412  
Title of Invention: ARTICULATED  
ELECTROSURGICAL PROBE  
First Named Inventor: Jean Woloszko  
Domestic/Foreign Application: Domestic Application  
Filing Date: 2002-01-25  
Effective Receipt Date: 2003-10-24  
Submission Type: Information Disclosure  
Statement  
Filing Type:  
Confirmation number: 1345  
Attorney Docket Number: NONE

Total Fees Authorized:

Digital Certificate Holder: cn=John Thomas Raffle,ou=Registered Attorneys,ou=Patent and  
Trademark Office,ou=Department of Commerce,o=U.S. Government,c=US  
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Stylesheet Version v1.1.0

Title of Invention	ARTICULATED ELECTROSURGICAL PROBE
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Application Number: 10/057412



Date: 2002-01-25

First Named Applicant: Jean Woloszko

Confirmation Number: 1345

Attorney Docket Number:

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Submitted by:	Elec. Sign.	Sign. Capacity
Richard Batt Registered Number: 43485	RRB	Attorney

Documents being submitted  
us-ids

Files  
G-3Standard1-usidst.xml  
us-ids.dtd  
us-ids.xsl

Comments

Part 2 of 6



## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18  
Stylesheet Version v18.0

Title of Invention	ARTICULATED ELECTROSURGICAL PROBE
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Application Number: 10/057412



Confirmation Number: 1345

First Named Applicant: Jean Woloszko

Attorney Docket Number:

Search string: ( 5496314 or 5496317 or 5571100 or 5633578  
or 5860975 or 4682596 or 5078717 or 5267994  
or 5273524 or 5300069 or 5312400 or 5334140  
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or 5383917 or 4040426 or 4116198 or 4548207  
or 4823791 or 5098431 ).pn.

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### US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
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	2	5496317	1996-03-05	Goble et al.			
	3	5571100	1996-11-05	Goble et al.			
	4	5633578	1997-05-27	Eggers et al.			
	5	5860975	1999-01-19	Goble et al.			
	6	4682596	1987-07-28	Bales et al.			
	7	5078717	1992-01-07	Parins et al.			
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	9	5273524	1993-12-28	Fox et al.			
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33	4326529	1982-04-27	Doss et al.
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38	5584872	1996-12-17	LaFontaine et al.
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48	4548207	1985-10-22	Reimels
49	4823791	1989-04-25	D'Amelio et al.
50	5098431	1992-03-24	Rydell

## Remarks

Note: Remarks are not for responding to an office action.

This submission is part 2 of 6 parts each submitted on October 24, 2003. The first part of this submission (part 1) has been concomitantly filed via priority mail (1box) with instructions for the PTO to charge the proper fee. Accordingly, we do not believe that this part (part 2) of this IDS submission should require an additional fee. Please charge our deposit account not more than one IDS submission fee for the entire submission consisting of seven parts. Thank you.

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	10/24/03